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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant(s):</b>	Michael Berkei, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	10/801,763	<b>Art Unit:</b>	1752
<b>Filed:</b>	March 16, 2004	<b>Docket:</b>	17541
<b>For:</b>	WRITABLE AND PRINTABLE COLLOIDAL GOLD SOLUTION	<b>Conf. No.:</b>	3419
		<b>Dated:</b>	November 22, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.56, 1.97 and 1.98**

**Sir:**

Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R. §1.56, submit herewith those additional references which they deem relevant to the claims of the present application.

The relevant references are as follows:

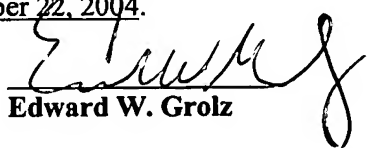
1. JP2004-067931 A
2. U.S. Patent No: 6,616,741 (to Sawa et al.)
3. Bishop, Dr. Peter, "Synthetic and Film Forming Aspects of Precious Metal Nanoparticles", Proceedings of the Fourth BCC Conference on Fine, Ultrafine, and Nano Particles 2001, Section 7-C, page 191.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 22, 2004.

**Dated:** November 22, 2004

  
**Edward W. Grolz**

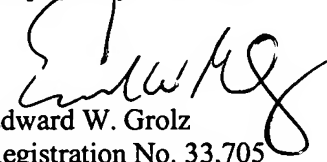
It is noted that in the case of JP2004-067931 A, an English abstract is being submitted together with a copy of the Japanese publication.

Applicants respectfully request that the above-mentioned references be made of record in the file of the present application. To that end, applicants submit herewith the aforementioned references and the completed Form PTO-1449, setting forth these references.

Applicants submit that the filing of this Supplemental Information Disclosure Statement is before the mailing date of a first Office Action on the merits. Therefore, no Declaration or fee is required to effect the filing of this Information Disclosure Statement.

Consideration of this Supplemental Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56.

Respectfully submitted,



Edward W. Grolz  
Registration No. 33,705

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EWG/ns

**LIST OF PRIOR ART  
CITED BY APPLICANT**

(Use several sheets if necessary)



Atty. Docket No.  
17541

Serial No.  
10/801,763

Applicant  
Michael Berkei, et al.

Filing Date  
March 16, 2004

Group  
1752

**U.S. PATENT DOCUMENTS**

EXAMINE R INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA	6,616,741	09/09/03	Sawa, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		JP 2004-067931 A	03/04/04	Japan			English Abstract	

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

		Bishop, Dr. Peter, "Synthetic and Film Forming Aspects of Precious Metal Nanoparticles", <u>Proceedings of the Fourth BCC Conference on Fine, Ultrafine, and Nano Particles 2001</u> , Section 7-C, page 191.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****Under 37 CFR 1.97(b) or 1.97(c)**

Docket No.

17541

In Re Application Of: **Michael Berkei, et al.**

NOV 24 2004

Application No.

10/801,763

Filing Date

March 16, 2004

Examiner

Unassigned

Customer No.

23389

Group Art Unit

1752

Confirmation No.

3419

Title: **WRITABLE AND PRINTABLE COLLOIDAL GOLD SOLUTION**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

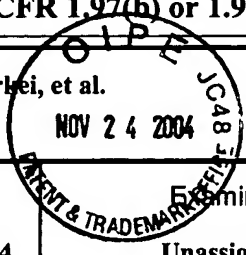
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(h) or 1.97(c))

Docket No.  
17541

In Re Application: Michael Berkei, et al.



Application No. 10/801,763	Filing Date March 16, 2004	Examiner Unassigned	Customer No. 23389	Group Art Unit 1752	Confirmation No. 3419
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Title: **WRITABLE AND PRINTABLE COLLOIDAL GOLD SOLUTION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
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**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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November 22, 2004  
(Date)

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Signature of Person Mailing Correspondence

Edward W. Grolz

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\_\_\_\_\_  
Signature

Dated: November 22, 2004

Edward W. Grolz  
Registration No: 33,705

cc: